



rethink
investing



AssetLock[®]
Personal

AVAILABLE AT
NO COST
TO YOU!

Your data is secure

At **AssetLock®**, your security and protection are of the utmost importance. That's why we partnered with **Morningstar** ByAllAccounts to bring you AssetLock® Personal. Morningstar ByAllAccounts has provided data aggregation services for 13+ years and works with over 15,000 institutions to aggregate over \$1.6 trillion in account data daily.

We employ industry-leading technologies and policies to protect the confidentiality and privacy of each user's financial and personal data and vigilantly update our systems to stay at the forefront of security, privacy, and continuity protection. To assure this security, we employ a number of different technologies including network security, application security, and encryption including the following:



DIGITAL CERTIFICATES



SECURE CONNECTION – HTTPS



SESSION MANAGEMENT



DATA ENCRYPTION



FINANCIAL DATA ACCESS ROLES



AUDIT LOGS AND NOTIFICATIONS



INVESTOR ACCOUNT ACCESS



NO PASSWORD RETRIEVAL

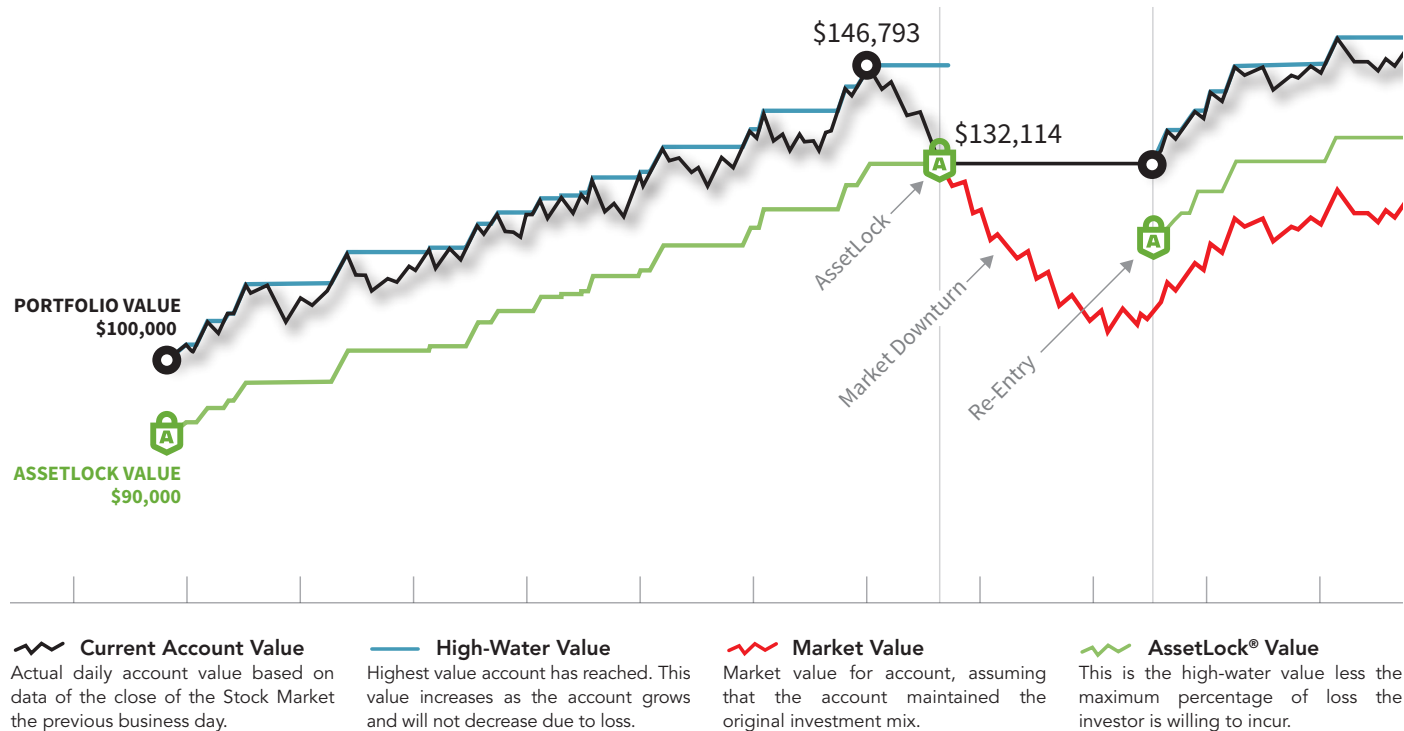
How AssetLock® Personal works

AssetLock® is a communication tool used to help users stay informed on their retirement accounts. With AssetLock® Personal, the user has complete control over the downside percentage (AssetLock® Percentage), which is used to send alerts.

Your advisor has elected to provide AssetLock® Personal at no cost to you, which gives you the ability to apply the AssetLock® alert system to accounts not managed by your advisor including:

401Ks | 403Bs | TSPs | And more!

With AssetLock® Personal, you are in complete control over the AssetLock® Percentages on your accounts, and can even customize your alerts to best meet your needs! An AssetLock® Percentage can range from 5%-30% and is always based on the High Water Value for the account. The AssetLock® Value is the downside value which would trigger the AssetLock® Percentage.



Help protect your gains with AssetLock®: The chart above demonstrates using AssetLock® with a portfolio where a 10% AssetLock® Value was chosen. Assuming an initial deposit of \$100,000 and eventual growth to \$146,793, the resulting AssetLock® Value would be \$132,114.

Getting started

Getting started with AssetLock® Personal is simple! Choose if you want to start in the App or on the website then follow the steps below.



Via the App



- 1 Download the App on your mobile device by texting your advisor's code to 947-222-5625 or searching "AssetLock" in the Apple App Store or Google Play Store.



- 2 Launch the App, enter your email address, and complete the registration form using your advisor's code.



- 3 Select the AssetLock® Accounts button from the App home page, select AssetLock® Personal, then select Add Accounts. Follow the prompts to add your retirement accounts.

Via desktop



- 1 Visit your advisor's sign-up link, then select the Secure Your Free Account button and complete the registration process.



- 2 Select the Add Accounts button, accept the Terms of Use, search for and select your institution, then enter your credentials and select Connect.



- 3 Wait for a green check mark to appear next to all accounts, then select Continue to Step 2. Select the desired AssetLock® Percentage for each account, then select Save.



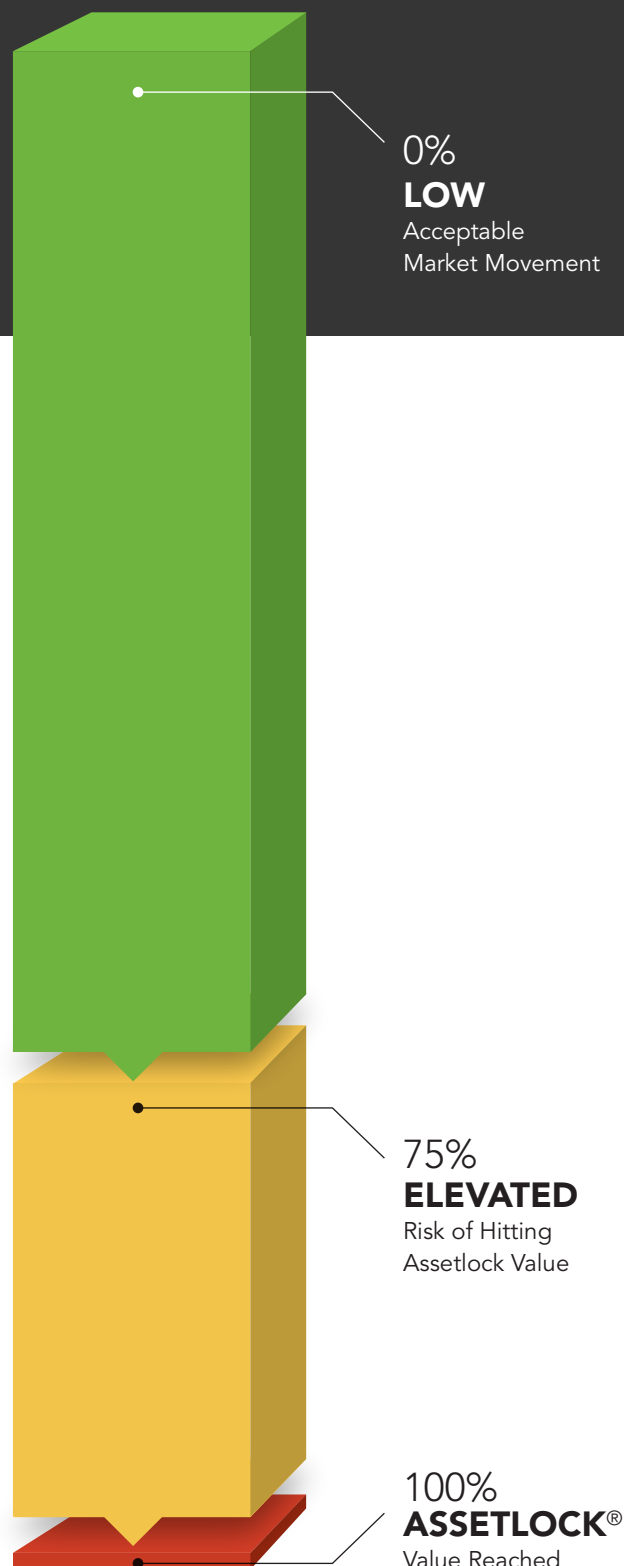
Control how you're alerted

With AssetLock® Personal, you have complete control over the alerts you wish to receive, how you receive those alerts (text, email, or both), and the frequency in which you receive them.

AssetLock® Personal has several alert options which can be customized by you! You have the ability to set alert parameters based on your personal risk tolerance for each account, and can update them at any time!

ALERTS	<i>Personal</i>
Deposits	✓
Dividend	✓
■ High Water Mark	✓
■ Elevated Risk	✓
■ Assetlock Reached	✓

It is important to note that AssetLock® is programmed to adjust the High Water and AssetLock® Values to accommodate deposits and withdrawals to ensure an accurate representation of the status of the account. For more information on how this process occurs and the reasoning behind it, please ask an AssetLock® Equipped Advisor.





AssetLock®

Rethink Investing

A SIMPLICITY GROUP HOLDINGS COMPANY

www.assetlock.com

AssetLock® is a tracking software used to monitor the performance of a user's account. The AssetLock® software compares the user's account performance on a daily basis to a pre-determined loss level set by the user (known as the "AssetLock® Percentage"). If the user's account loses value and begins to approach the AssetLock® Percentage, the AssetLock® software automatically alerts the user that the account value is approaching the AssetLock® Percentage. The AssetLock® Percentage is only a reference point to help keep the user informed on the performance of the user's account. Data and alerts are based on values as of close of the market on the previous business day. While AssetLock® does its best to ensure accurate data, AssetLock® is not responsible in the event of incomplete or incorrect data.

The AssetLock® software is monitoring software only and is not an actual stop loss program. The AssetLock® software will not automatically sell any securities in the user's account. The user, or the user's financial professional, must initiate any trades or changes in the user's account. AssetLock® assumes no responsibility or liability for use of the AssetLock® software and will in no event be responsible for any losses in a user's account.

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